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PTO/SB/21 (08-00)

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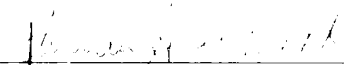
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|  |                      |                        |             |
|--|----------------------|------------------------|-------------|
| <b>TRANSMITTAL<br/>FORM</b><br><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 08/963,368             |             |
|  | Filing Date          | November 3, 1997       |             |
|  | First Named Inventor | NOLAN, GARRY P.        |             |
|  | Group Art Unit       | 1639                   |             |
|  | Examiner Name        | WESSENDORF, TERESA D.  |             |
| Total Number of Pages in This Submission   | 8                    | Attorney Docket Number | RIGL-004DIV |

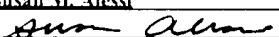
**ENCLOSURES (check all that apply)**

|  |   |   |
|--|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Supplemental Information<br><input type="checkbox"/> Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Documents<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>1. PTO/SB/08A<br>2. Postcard |
| Remarks  |   |   |

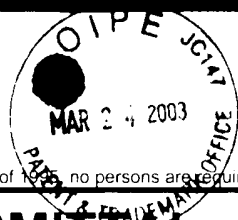
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

|                                   |   |  |  |
|-----------------------------------|---|--|--|
| Signing Attorney/Agent (Reg. No.) | PAMELA J. SHERWOOD, 36,677  |  |  |
|                                   | BOZICEVIC, FIELD & FRANCIS LLP  |  |  |
| Signature                         |  |  |  |
| Date                              | March 19, 2003  |  |  |

**CERTIFICATE OF MAILING**

|  |   |      |                |
|--|---|------|----------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: March 19, 2003. |   |      |                |
| Typed or printed name  | Susan M. Alessi   |      |                |
| Signature  |  | Date | March 19, 2003 |

Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**180.00****Complete if Known**

|                      |                       |
|----------------------|-----------------------|
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| First Named Inventor | NOLAN, GARRY P.       |
| Examiner Name        | WESSENDORF, TERESA D. |
| Art Unit             | 1639                  |
| Attorney Docket No.  | RIGL-004DIV           |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit AccountDeposit Account Number **50-0815**Deposit Account Name **Bozicevic, Field & Francis LLP**

The Commissioner authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account**FEE CALCULATION****1. BASIC FILING FEE**

| Large Fee Code | Entity Fee (\$) | Small Entity Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|-----------------------|-----------------|------------------------|----------|
| 1001           | 750             | 2001                  | 375             | Utility filing fee     |          |
| 1002           | 330             | 2002                  | 165             | Design filing fee      |          |
| 1003           | 520             | 2003                  | 260             | Plant filing fee       |          |
| 1004           | 750             | 2004                  | 375             | Reissue filing fee     |          |
| 1005           | 160             | 2005                  | 80              | Provisional filing fee |          |

**SUBTOTAL (1)****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | -20** =      | x              | =        |
| Indep Claims       | -3** =       | x              | =        |
| Multiple Dependent |              |                | =        |

| Large Fee Code | Entity Fee (\$) | Small Entity Fee Code | Entity Fee (\$) | Fee Description  |
|----------------|-----------------|-----------------------|-----------------|--|
| 1202           | 18              | 2202                  | 9               | Claims in excess of 20                                     |
| 1201           | 84              | 2201                  | 42              | Independent claims in excess of 3                          |
| 1203           | 280             | 2203                  | 140             | Multiple dependent claim, if not paid                      |
| 1204           | 84              | 2204                  | 42              | ** Reissue independent claims over original patent         |
| 1205           | 18              | 2205                  | 9               | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2) \$**

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity Fee Code | Entity Fee (\$) | Small Entity Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|-----------------------|-----------------|-----------------------|-----------------|--|----------|
| 1051                  | 130             | 2051                  | 65              | Surcharge - late filing fee or oath  |          |
| 1052                  | 50              | 2052                  | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053                  | 130             | 1053                  | 130             | Non-English specification  |          |
| 1812                  | 2,520           | 1812                  | 2,520           | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804                  | 920*            | 1804                  | 920*            | Requesting publication of SIR prior to Examination action                  |          |
| 1805                  | 1,840*          | 1805                  | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 1251                  | 110             | 2251                  | 55              | Extension for reply within first month                                     |          |
| 1252                  | 410             | 2252                  | 205             | Extension for reply within second month                                    |          |
| 1253                  | 930             | 2253                  | 465             | Extension for reply within third month                                     |          |
| 1254                  | 1,450           | 2254                  | 725             | Extension for reply within fourth month                                    |          |
| 1255                  | 1,970           | 2255                  | 985             | Extension for reply within fifth month                                     |          |
| 1401                  | 320             | 2401                  | 160             | Notice of Appeal   |          |
| 1402                  | 320             | 2402                  | 160             | Filing a brief in support of an appeal                                     |          |
| 1403                  | 280             | 2403                  | 140             | Request for oral hearing   |          |
| 1451                  | 1,510           | 1451                  | 1,510           | Petition to institute a public use proceeding                              |          |
| 1452                  | 110             | 2452                  | 55              | Petition to revive - unavoidable   |          |
| 1453                  | 1,300           | 2453                  | 650             | Petition to revive - unintentional   |          |
| 1501                  | 1,300           | 2501                  | 650             | Utility issue fee (or reissue)   |          |
| 1502                  | 470             | 2502                  | 235             | Design issue fee   |          |
| 1503                  | 630             | 2503                  | 315             | Plant issue fee  |          |
| 1406                  | 130             | 1406                  | 130             | Petitions to the Commissioner  |          |
| 1807                  | 50              | 1807                  | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 1806                  | 180             | 1806                  | 180             | Submission of Information Disclosure Stmt                                  | 180.00   |
| 8021                  | 40              | 8021                  | 40              | Recording each patent assignment per property (times number of properties) |          |
| 1809                  | 750             | 2809                  | 375             | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                  | 750             | 2810                  | 375             | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                  | 750             | 2801                  | 375             | Request for Continued Examination (RCE)                                    |          |
| 1802                  | 900             | 1802                  | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)** **180.00****SUBMITTED BY****Complete (if applicable)**

|                   |                           |                                  |                   |           |                       |
|-------------------|---------------------------|----------------------------------|-------------------|-----------|-----------------------|
| Name (Print/Type) | <b>Pamela J. Sherwood</b> | Registration No (Attorney/Agent) | <b>36,677</b>     | Telephone | <b>(650) 327-3400</b> |
| Signature         | <i>Pamela J. Sherwood</i> | Date                             | <b>03/19/2003</b> |           |                       |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

|   |  |  |                       |
|---|--|--|-----------------------|
| <b>CERTIFICATE OF MAILING</b>   |  |  |                       |
| I hereby certify that this correspondence was deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231 on this date |  |  |                       |
| Typed or Printed Name   |  | Susan M. Alessi  |                       |
| Signature   |  | Date   | March 19, 2003        |
| <b>SUPPLEMENTAL<br/>INFORMATION DISCLOSURE<br/>STATEMENT</b>  |  | Attorney Docket  | RIGL-004DIV           |
|   |  | First Named Inventor   | NOLAN, GARRY P.       |
|   |  | Application Number   | 08 963,368            |
|   |  | Filing Date  | November 3, 1997      |
|   |  | Group Art Unit   | 1639                  |
|   |  | Examiner Name  | WESSENDORF, TERESA D. |
| Address to:<br>Commissioner for Patents<br>Washington, D.C. 20231   |  | Title: "METHODS FOR SCREENING FOR<br>TRANSDOMINANT INTRACELLULAR EFFECTOR<br>PEPTIDES AND RNA MOLECULES" |                       |

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. This is being filed **after** three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or **after** the mailing date of the first Office Action on the merits, whichever event occurred last, but **before** the mailing date of either a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.

A Form PTO-SB/08A listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record.

- ☐ All of the references identified herein are being submitted prior to the final determination on patentability by the Office.
- ☐ All of the references identified herein were cited in an International (PCT) Search Report dated \*, in a PCT application corresponding to the above-identified U.S. application. A copy of the Search Report, including an indication of the purported relevance of the cited documents, is enclosed herewith. Copies of the references are submitted herewith.
- ☒ All of the references identified herein were disclosed in priority application Serial No. 08/589,911 and, as such, copies thereof are not included pursuant to the provisions of 37 C.F.R. §1.98(d), except if noted below.

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one of the above references constitutes prior art to the present application within the meaning of 35 U.S.C. §102.

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X Please charge the \$180.00 fee required by 37 C.F.R. §1.97(c) and set forth in 37 C.F.R. 1.17(p) and any additional fees which may be required by this paper or any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21, or to credit any overpayment, to Deposit Account No. 50-0815, order number RIGL-004DIV.

Respectfully submitted,  
BOZICEVIC, FIELD & FRANCIS LLP

Date: March 19, 2003

By: 

Pamela J. Sherwood  
Registration No. 36,677

BOZICEVIC, FIELD & FRANCIS LLP  
200 Middlefield Road, Suite 200  
Menlo Park, CA 94025  
Telephone: (650) 327-3400  
Facsimile: (650) 327-3231